



Success Employee Benefits

PTY(LTD)

An Authorised Financial Services Provider No:14400

Record of Advice

Client's Current Financial Need and or objective taking into account risk profile:
Product(s) and Options considered by FSP's representative:
Product(s)/ transaction recommended as appropriate by the FSP's representative and WHY:
What transaction did the client actually enter into:
Will this transaction result in a replacement of existing product in clients portfolio
YES
NO
If "YES" the Representative must ensure that the FAIS replacement disclosures are explained to the client

Representative Name: _____

Signature: _____

Client Name: _____

Signature: _____

Date: _____

